



Hamilton Institute



**Maynooth  
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# An efficient algorithm to compute the minimum free energy of interacting nucleic acid strands

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3<sup>rd</sup> year PhD

Supervisor: Damien Woods



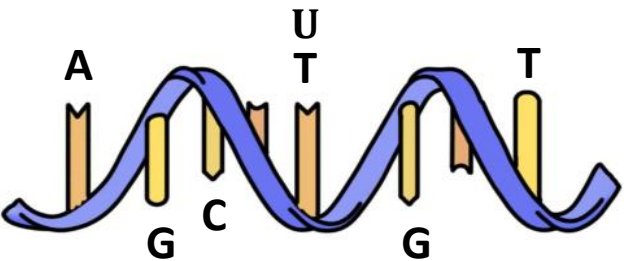
European  
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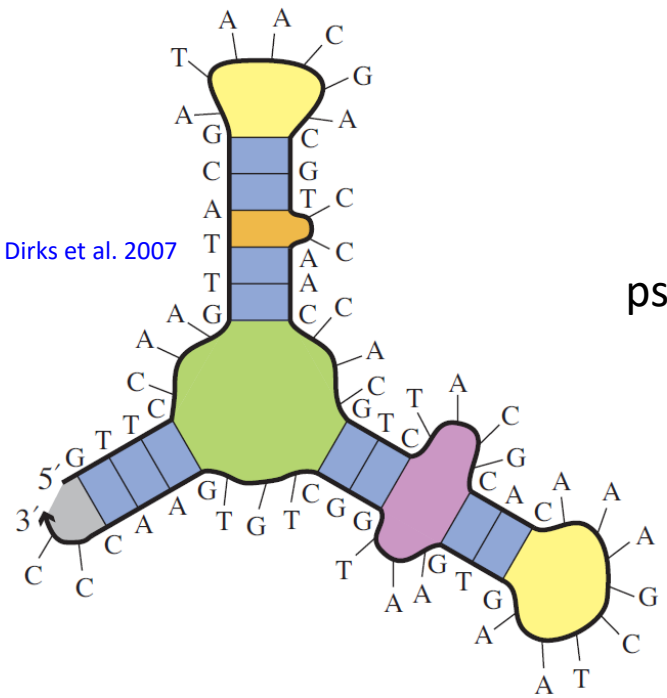


# Secondary structure



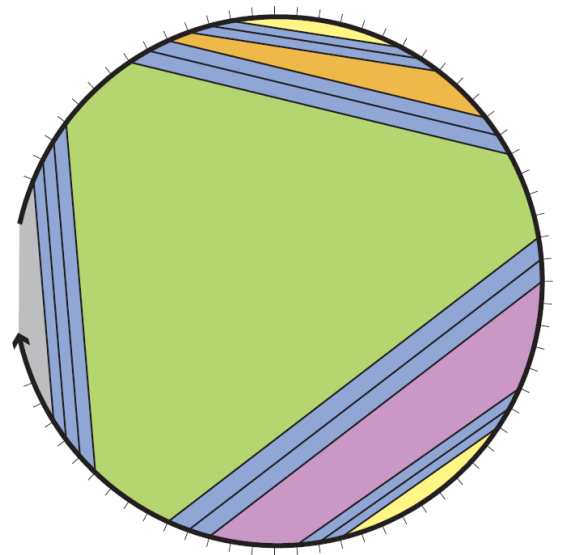
Single stranded **DNA/RNA**

**NP – Complete**



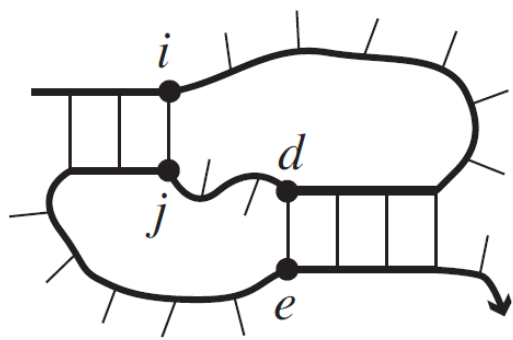
Secondary structure

pseudoknot-free



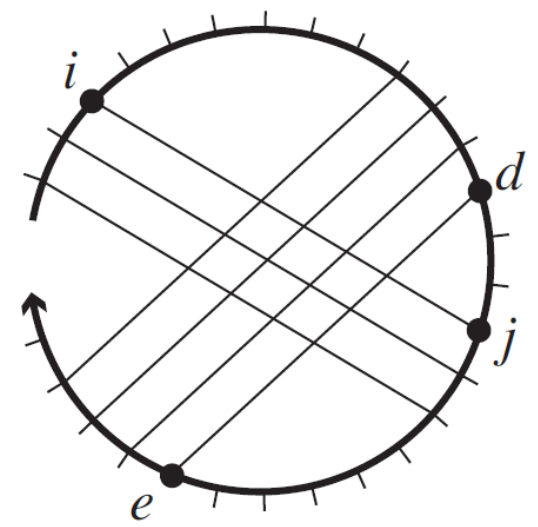
Dirks et al. 2007

Polymer graph representation

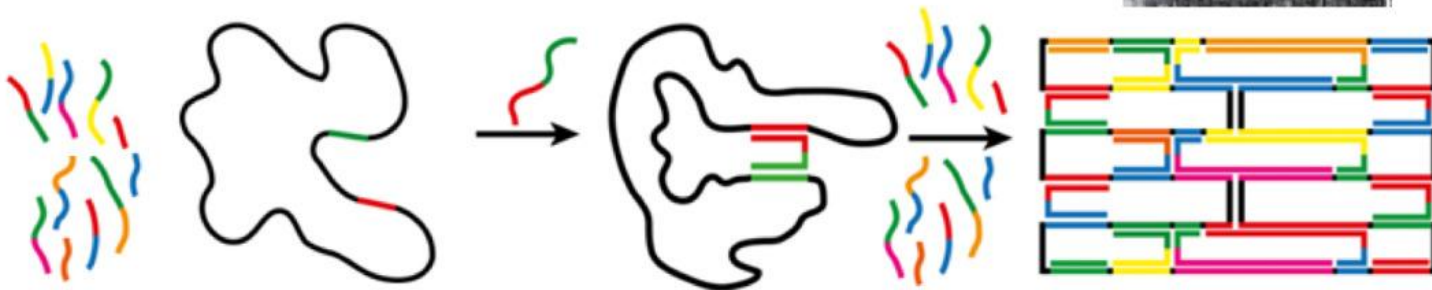
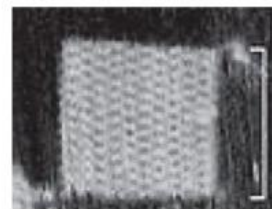


Dirks et al. 2007

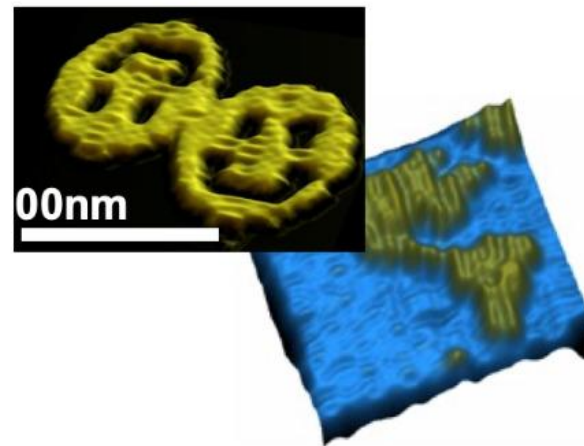
pseudoknotted



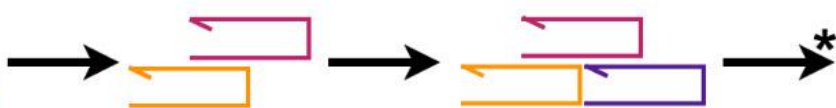
Dirks et al. 2007



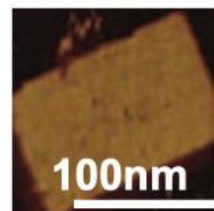
DNA origami



Rothemund. 2006 Nature

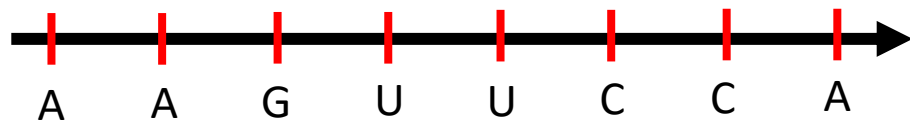


DNA single-stranded tiles



Wei, Dai, Yin. 2012 Nature

# Secondary structure



Three alternative secondary structures for the RNA sequence A A G U U C C A are shown within a light gray rectangular box. Each structure consists of a black arrow pointing right with red tick marks and nucleotide labels below. Blue arcs represent base pairs between complementary nucleotides.

- Structure 1: A single blue arc connects the 3rd nucleotide (G) and the 6th nucleotide (C).
- Structure 2: A single blue arc connects the 4th nucleotide (U) and the 5th nucleotide (U).
- Structure 3: Two blue arcs are shown. The first arc connects the 3rd nucleotide (G) and the 6th nucleotide (C). The second arc connects the 4th nucleotide (U) and the 5th nucleotide (U).

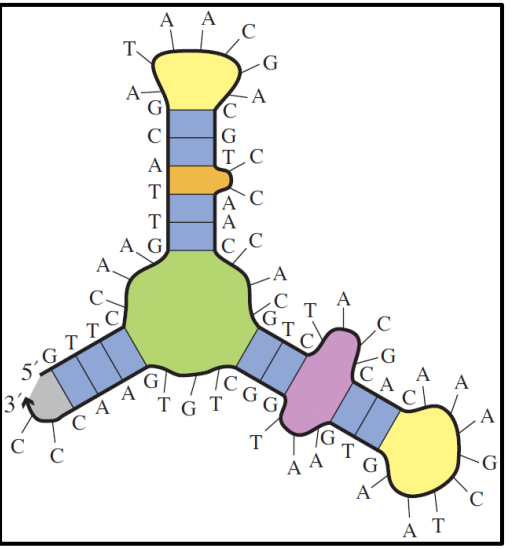
Vertical ellipses (three red dots) are located below the first structure and to the right of the second structure, indicating that there are many other possible secondary structures for this sequence.

$\Omega$

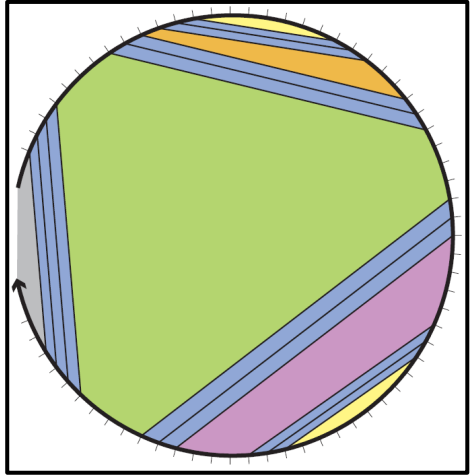
Which secondary structure is more favourable?

# Energy models, Minimum Free Energy and Partition Function

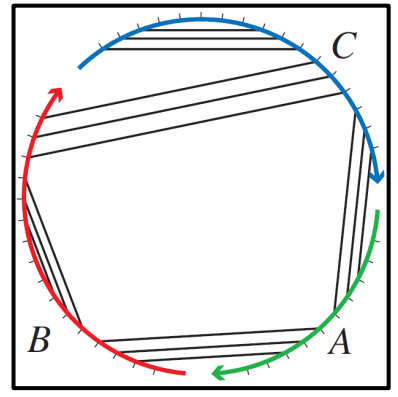
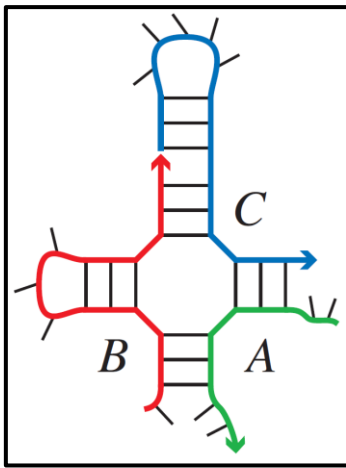
Single stranded system



Dirks et al. 2007



Multi stranded system of  $c$  strands

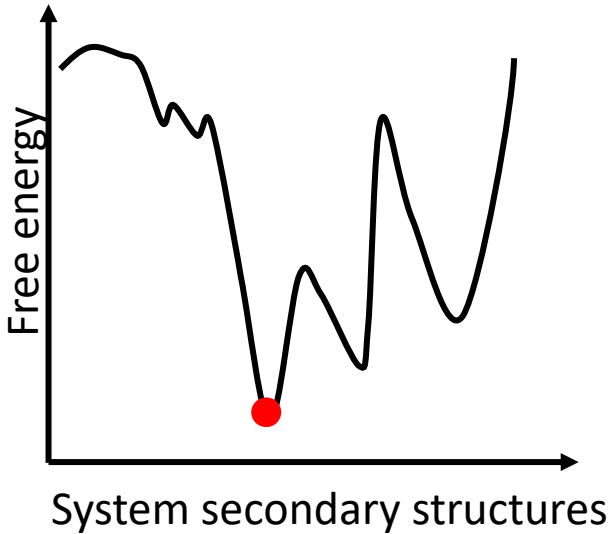


Dirks et al. 2007

$$\Delta G(S)$$

Energy model

Capture the free energy of secondary structure



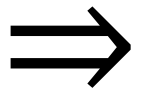
$$\text{MFE} = \min_{S \in \Omega} \Delta G(S)$$

Minimum Free Energy

Boltzmann weighted sum

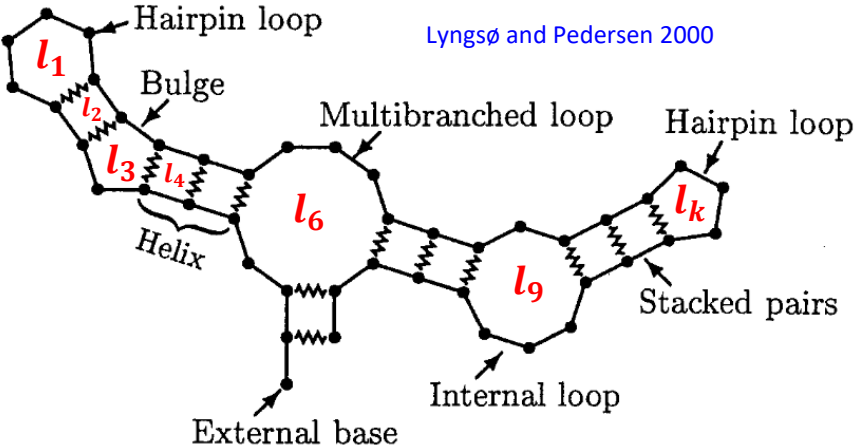
$$Q = \sum_{S \in \Omega} e^{-\Delta G(S)/k_B T}$$

Partition Function



$$\text{Pr}[S] = \frac{e^{-\Delta G(S)/k_B T}}{Q}$$

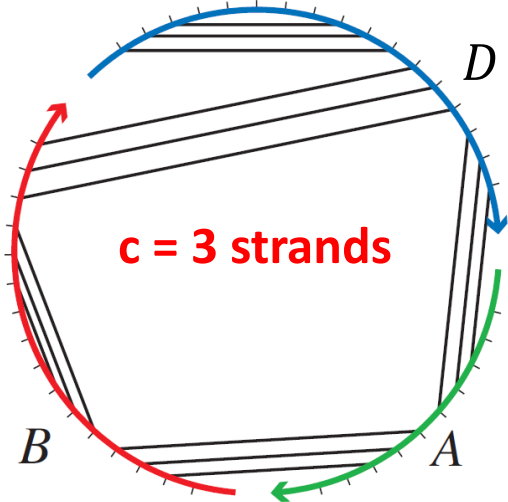
# Energy model: Nearest neighbour model



$$\Delta G(S) = \Delta G(l_1) + \Delta G(l_2) + \dots + \Delta G(l_k)$$

$$\Delta G(S) = \sum_{l \in S} \Delta G(l)$$

Dirks et al. 2007



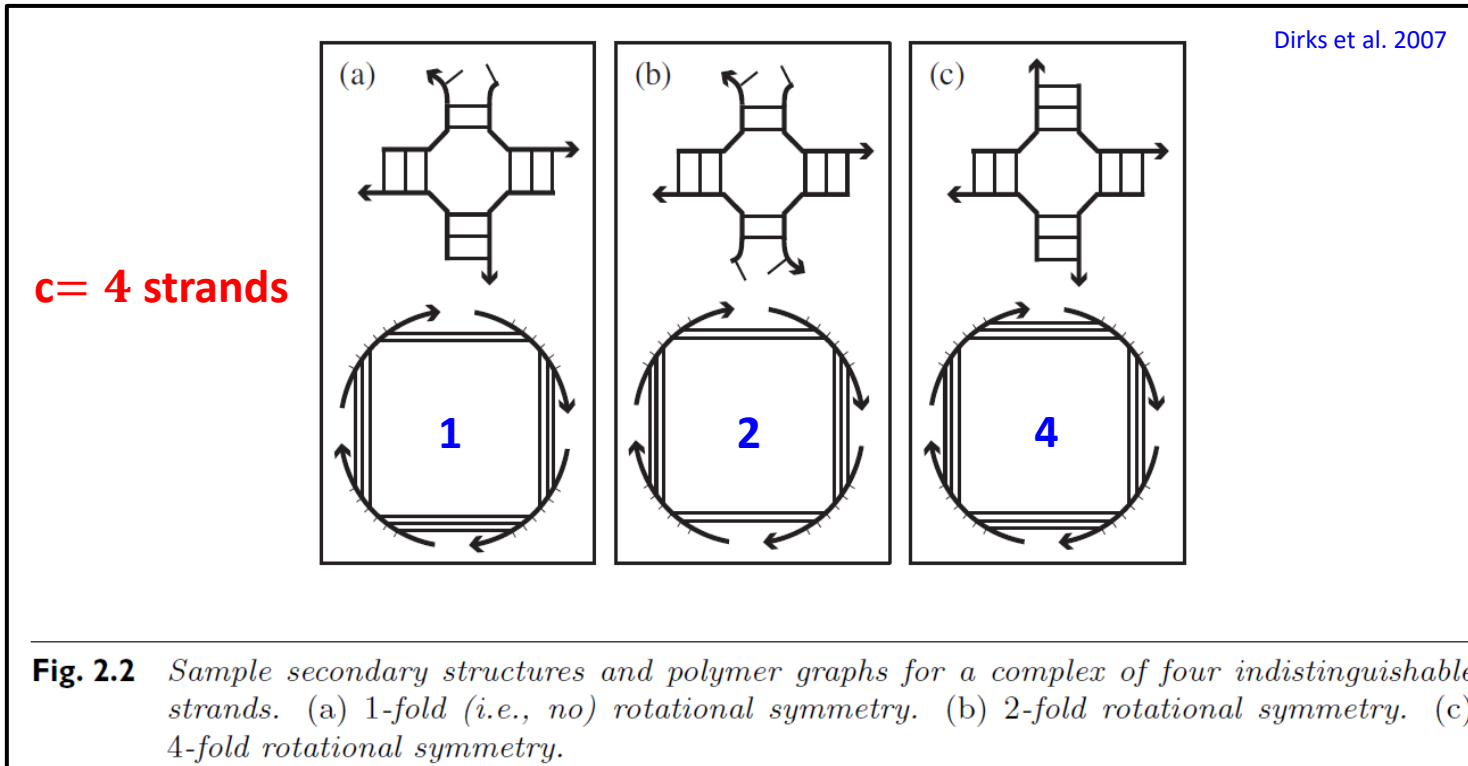
$$\Delta G(S) = \sum_{l \in S} \Delta G(l) + (c - 1) \Delta G^{\text{assoc}}$$

$$\min_{S \in \Omega} \Delta G(S)$$

$\Omega$ : the set of all secondary structures

# Energy model: Nearest neighbour model (allowing repeated strands)

$$\Delta G(S) = \underbrace{\sum_{l \in S} \Delta G(l)}_{\text{Free energy}} \underbrace{-}_{\text{Loop energy}} \underbrace{+}_{\text{Entropic association cost}} (c - 1) * \Delta G^{\text{assoc}} \underbrace{+}_{\text{Symmetry penalty}} k_B T * \log R$$



$$\min_{S \in \Omega} \Delta G(S)$$

$\Omega$ : the set of all connected structures  
**R**: degree of rotational symmetry

# Computational complexity of Minimum Free Energy algorithms

Input Type		MFE
Single Strand	<b>Nussinov et al. 1980</b>	$O(N^4)$
Multiple <b>unique</b> Strands, Bounded ( $\leq c$ )	<b>Dirkiser et al. 2007</b>	$O(N^4(c-1)!)$
Multiple Strands <b>allowing repeats</b> , Bounded ( $\leq c$ )		?
Multiple Strands, Unbounded	<b>Condon et al. 2021</b>	$NP$ – Complete

$N$  bases,  $c$  strands

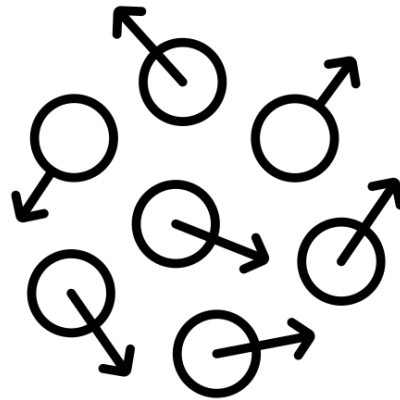


Open problem for  $\approx 20$  years



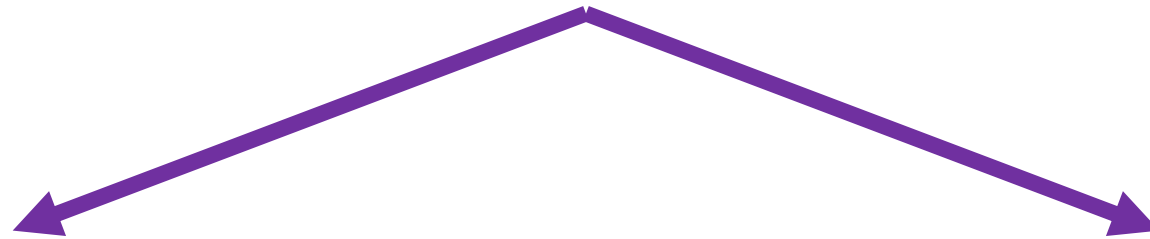
**Why symmetry makes that difference?**

# Entropy



**$\Delta G$**

**Free energy**



**Enthalpy**

**H**

**Entropy**

**S**



Solid



Liquid

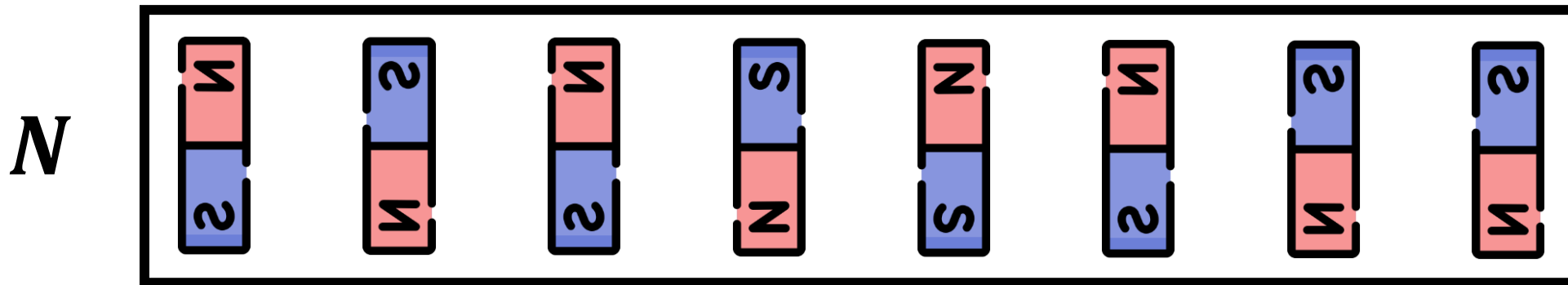


Gas



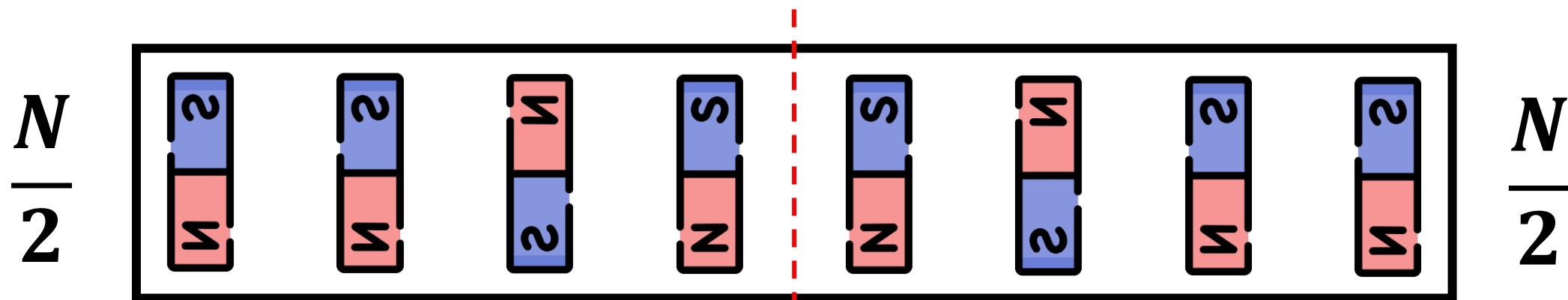
Increasing Entropy

$$S = k_B \log \Pi$$



The total number of states of the  $N$  magnets is  $\Pi = 2^N$

$$S = k_B N \log 2$$



$$\Pi = 2^{N/2}$$



$$S = k_B \frac{N}{2} \log 2$$

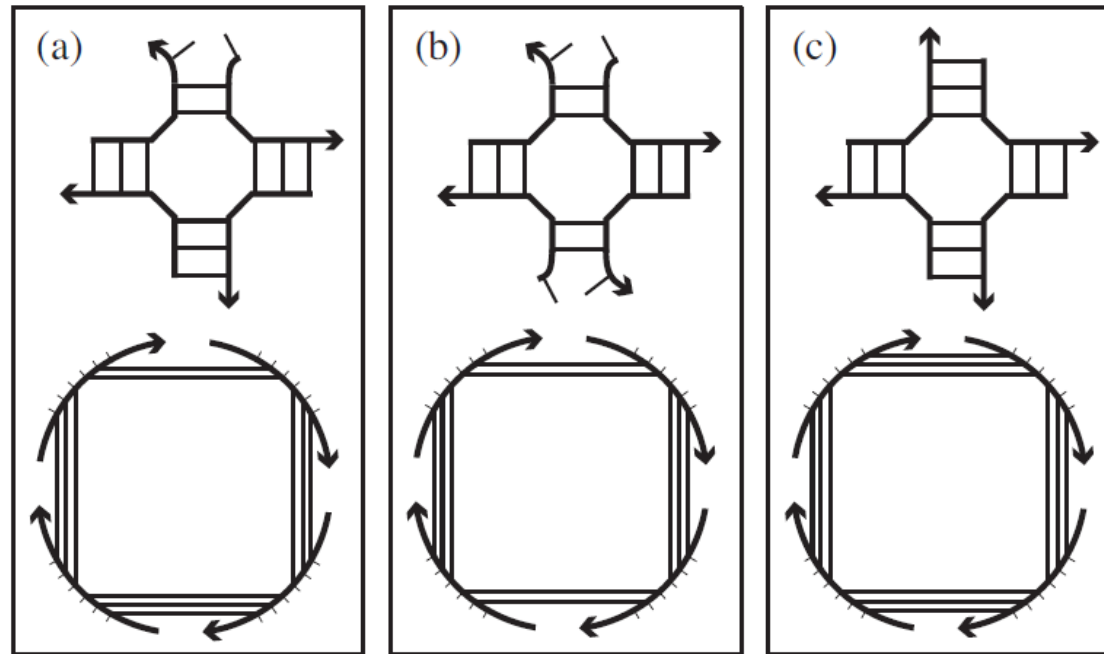
Free energy

Loop energy

Entropic association cost

Symmetry penalty

$$\Delta G(S) = \sum_{l \in S} \Delta G(l) + (c - 1) * \Delta G^{\text{assoc}} + k_B T * \log R$$



**Fig. 2.2** Sample secondary structures and polymer graphs for a complex of four indistinguishable strands. (a) 1-fold (i.e., no) rotational symmetry. (b) 2-fold rotational symmetry. (c) 4-fold rotational symmetry.

**Why is this difficult?**

# Why symmetry makes it difficult?

Input Type	MFE
Single Strand	$O(N^4)$
Multiple <b>unique</b> Strands, Bounded ( $\leq c$ )	$O(N^4(c-1)!)$
Multiple Strands, Bounded ( $\leq c$ )	?

} **Dynamic programming algorithms**

$N$  bases,  $c$  strands

All of these are **dynamic programming** algorithms

Subproblems  $\longrightarrow$  Big problem

Level	Input Type	MFE
1	Single Strand (Maximum matching)	$O(N^3)$
2	Single Strand (Loop model)	$O(N^3)$
3	Multiple <b>unique</b> Strands, Bounded ( $\leq c$ )	$O(N^3(c-1)!)$
4	Multiple Strands, Bounded ( $\leq c$ )	?

X bases, c strands

All of these are **dynamic programming algorithms**

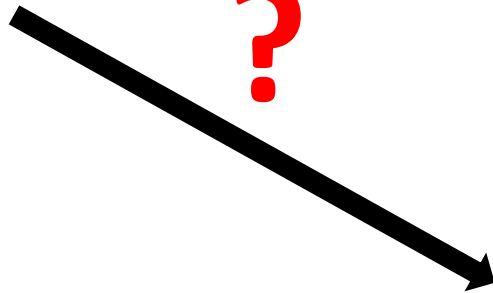
Subproblems



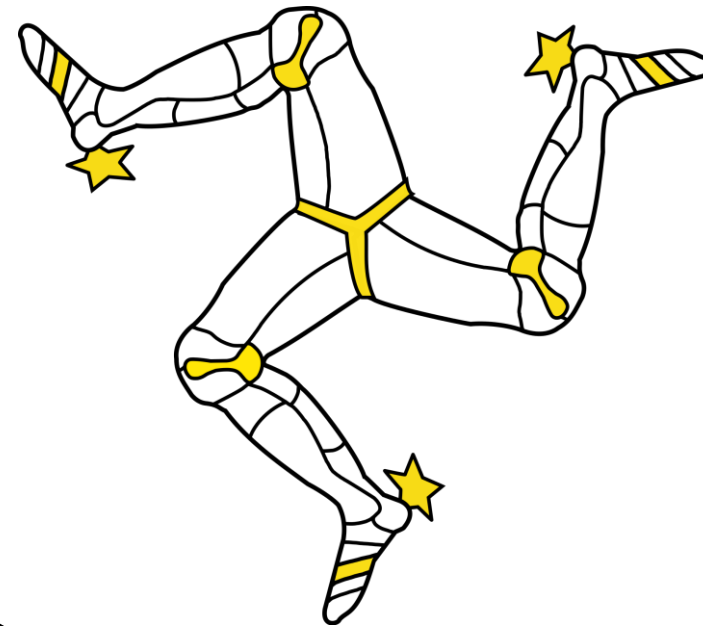
Big problem



Local point of view

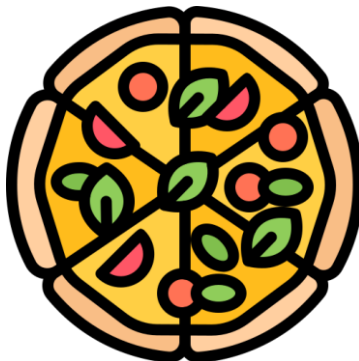


Global property





# Our solution

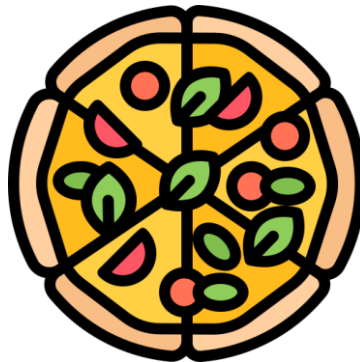


$\Delta G'(S)$



$$\Delta G'(S_y) \leq \Delta G'(S_x)$$

$$\Delta G'(S_y) \leq \Delta G(S_z) \leq \Delta G'(S_x)$$



$S_y$  Symmetric

**X**

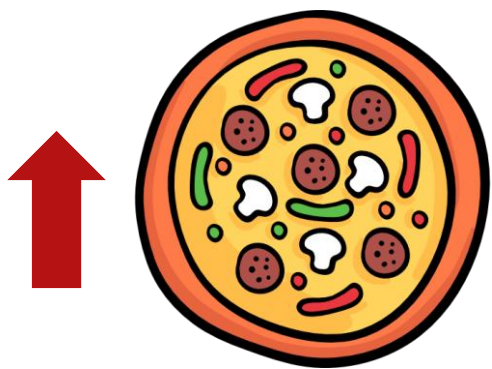


$S_z$  Asymmetric



$S_x$  Symmetric

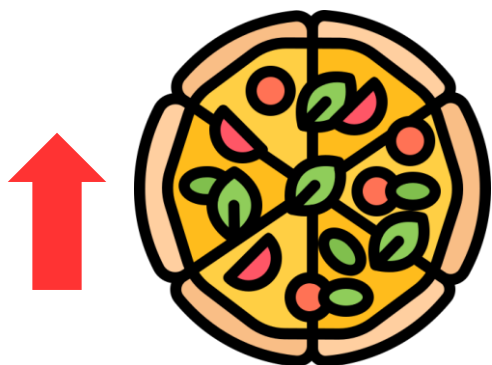
$S_x$  and  $S_y$   
Admissible cut



$S_x$   
Symmetric



$S_z$   
Asymmetric



$S_y$   
Symmetric

$S_x$  and  $S_y$   
Admissible cut

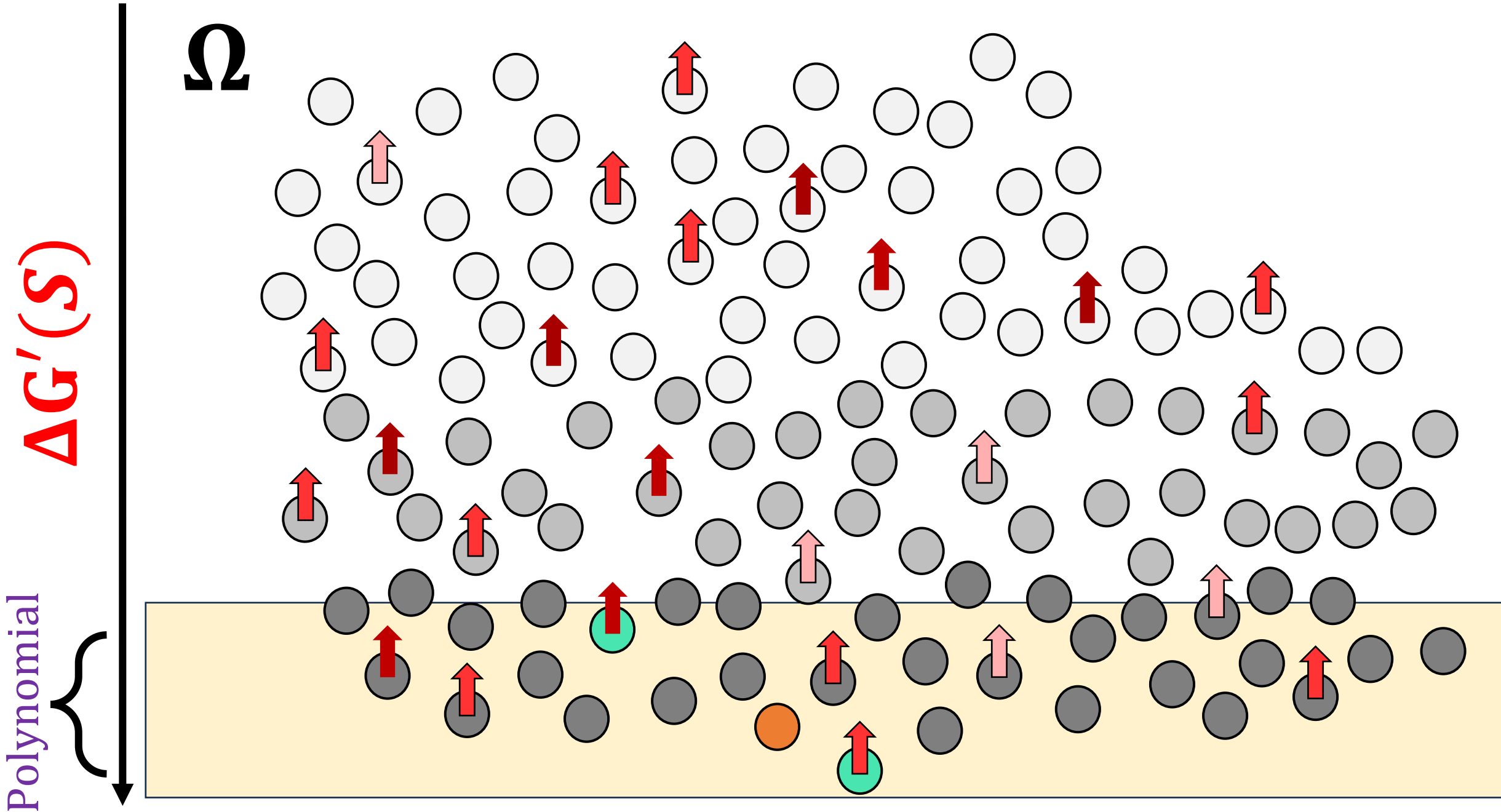
## Upper bound

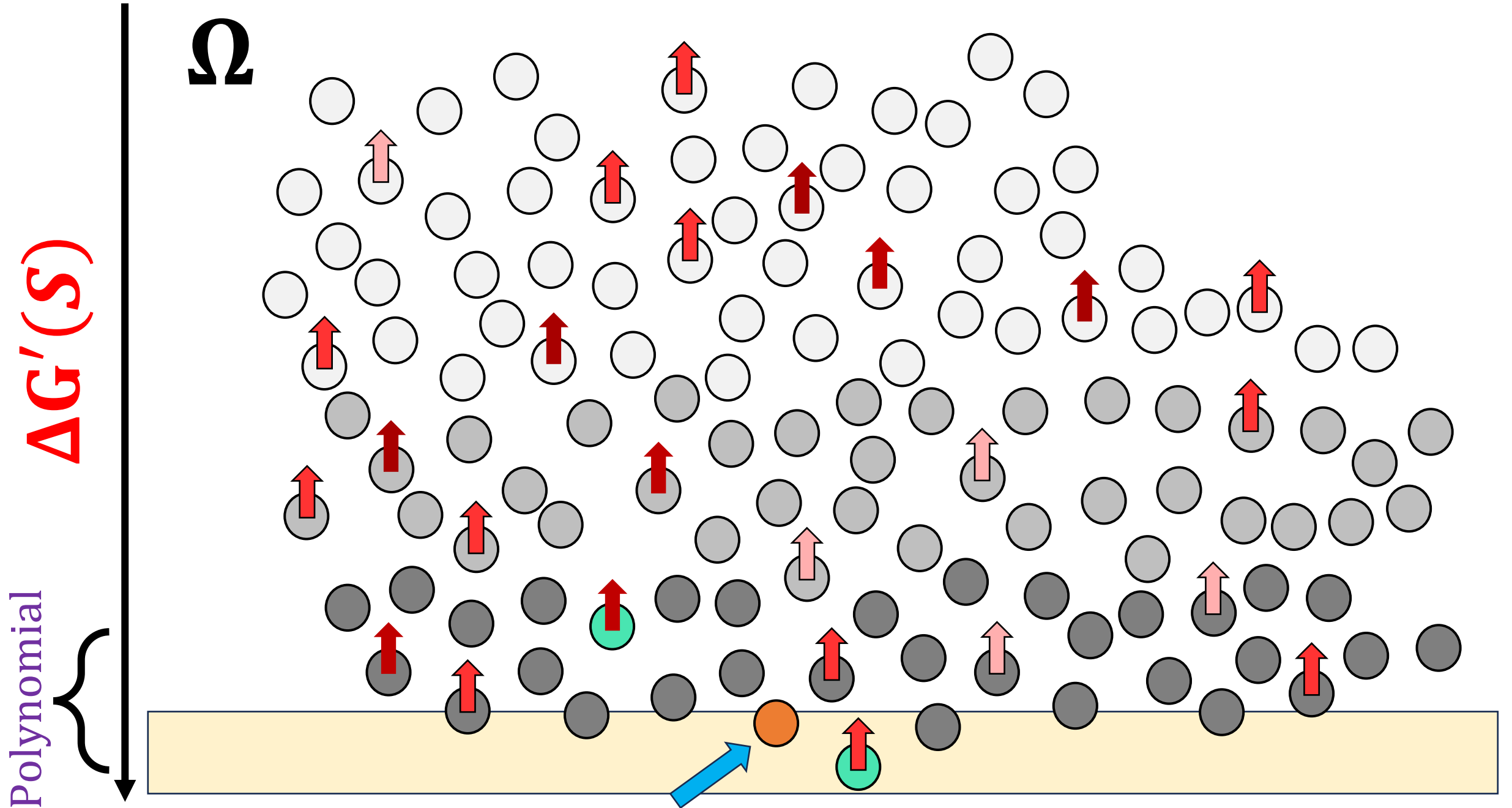
$$\frac{N-c}{v(\pi)} (\sigma(v(\pi)) - v(\pi))$$

+

$$N^2/16$$

Adjusting the backtracking algorithm to go through energy levels sequentially starting from the MFE level.





# Computational complexity of Minimum Free Energy algorithms

Input Type	MFE
Single Strand	$O(N^4)$
Multiple <b>unique</b> Strands, Bounded ( $\leq c$ )	$O(N^4(c - 1)!)$
Multiple Strands, Bounded ( $\leq c$ )	<b><math>O(N^4(c - 1)!)</math></b>
Multiple Strands, Unbounded	$NP$ – Complete

$N$  bases,  $c$  strands

# Thanks



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